

LexDrafter: Terminology Drafting for Legislative Documents using Retrieval Augmented Generation

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Outline

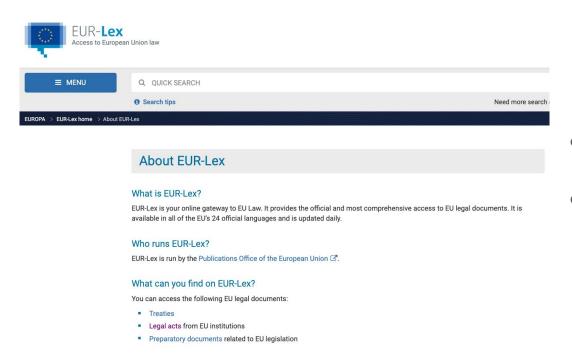
Introduction

LexDrafter Framework

Experiments

Conclusion and Future Work





- Around 24k regulatory documents for the year 2022
- 15% are legislative documents
 - They comprise regulations, directives, decisions, and opinions

EUR-Lex platform: https://eur-lex.europa.eu/homepage.html



| Тор | 6.7.2011 EN Official Journal of the European Union L 178/1 | | | |
|---|---|--|--|--|
| Article 1 - Subject matter and scope | COMMISSION DELECATED DECLIL ATTON (EV) N. (ACIDAL | | | |
| Article 2 - Definitions | COMMISSION DELEGATED REGULATION (EU) No 626/2011 | | | |
| Article 3 - Responsibilities of suppliers | of 4 May 2011 supplementing Directive 2010/30/EU of the European Parliament and of the Council with regard to energy labelling of a | | | |
| Article 4 - Responsibilities of dealers | conditioners THE EUROPEAN COMMISSION, | | | |
| Article 5 - Measurement methods | Having regard to the Treaty on the Functioning of the European Union, | | | |
| Article 6 - Verification procedure for market surveillance purposes | Having regard to Directive 2010/30/EU of 19 May 2010 of the European Parliament and of the Council on the indication by labelling and standard product information of the consumption of energy and other resources energy-related products (1), and in particular Article 10 thereof, | | | |
| Article 7 - Revision | | | | |
| Article 8 - Repeal | Whereas: | | | |
| Article 9 - Transitional provision | (1) Directive 2010/30/EU requires the Commission to adopt delegated acts as regards the labelling of energy-related produ representing significant potential for energy savings and having a wide disparity in performance levels with equival- | | | |
| Article 10 - Entry into force and application | functionality. (2) Provisions for the energy labelling of air conditioners were established by Commission Directive 2002/31/EC of 22 March | | | |
| ANNEX I | 2002 implementing Council Directive 92/75/EEC with regard to energy labelling of household air-conditioners (2). T implementing Directive establishes different labelling scales for air conditioners using different technologies and t determination of energy efficiency is based on full load operation only. | | | |
| ANNEX II | | | | |

Commission Delegated Regulation (EU) 626/2011 with regard to energy labelling of air conditioners



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| for market surveillance purposes | | | | |
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| application | L | | | |
| ANNEX I | (| | | |
| ANNEX II | | | | |

Article 2

Definitions

In addition to the definitions set out in Article 2 of Directive 2010/30/EU of the European Parliament and of the Council (5), the following definitions shall apply:

- (1) 'air conditioner' means a device capable of cooling or heating, or both, indoor air, using a vapour compression cycle driven by an electric compressor, including air conditioners that provide additional functionalities such as dehumidification, air-purification, ventilation or supplemental air-heating by means of electric resistance heating and appliances that may use water (either condensate water that is formed on the evaporator side or externally added water) for evaporation on the condensor, provided that the device is also able to function without the use of additional water, using air only;
- (2) 'double duct air conditioner' means an air conditioner in which, during cooling or heating, the condensor or evaporator intake air is introduced from the outdoor

Commission Delegated Regulation (EU) 626/2011 with regard to energy labelling of air conditioners

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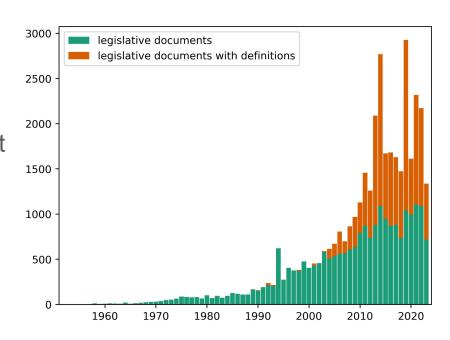


- As per Joint Practical Guide (JPG) of European Parliament (European Commission and Legal service, 2015)
 - Terminology be consistent
 - Terms used in the act are defined together





- As per Joint Practical Guide (JPG) of European Parliament (*European Commission and Legal service*, 2015)
 - Terminology be consistent
 - Terms used in the act are defined together
- On average, 20 definitions are present in each legal act for the Energy domain



Contribution

- LexDrafter framework assists in terminology drafting (Definitions articles)
 - Extracts definitions from documents
 - Generates definitions based on contextual information

Contribution

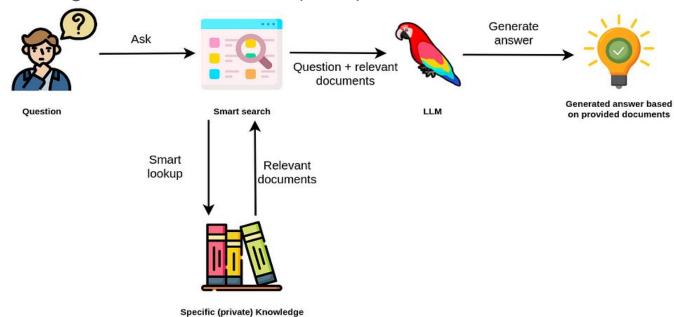
- LexDrafter framework assists in terminology drafting (Definitions articles)
 - Extracts definitions from documents
 - Generates definitions based on contextual information

- Advantages of LexDrafter
 - Harmonizing legal definitions
 - Human errors are reduced by pointing to existing term definitions
 - Less time spent on ensuring term definitions consistency

LexDrafter Framework

LexDrafter Framework

Retrieval Augmented Generation (RAG)

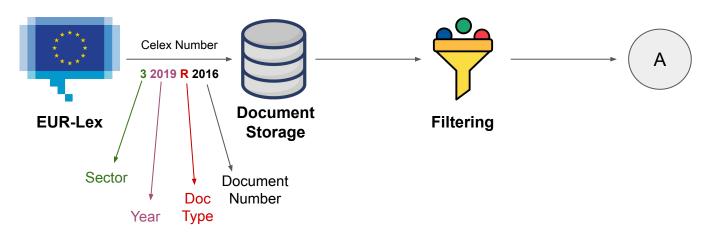


Source: https://neo4j.com/developer-blog/fine-tuning-retrieval-augmented-generation/

LexDrafter Framework

- Retrieval Augmented Generation (RAG)
- Two workflows
 - Workflow 1: building document and definition corpus
 - Workflow 2: identifying and generating definitions

LexDrafter Framework: Workflow 1



- EUR-Lex legal acts (<u>Energy domain</u>)
- Data acquisition process (<u>Aumiller et al., 2022</u>)

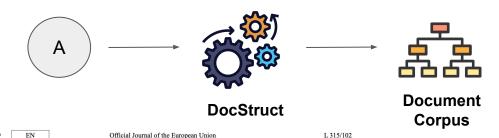
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LexDrafter Framework: Workflow 1/2



Commission Delegated Regulation (EU) 2019/2016 (Celex Number: 32019R2016)

LexDrafter Framework: Workflow 1/3



| Тор | 5.12.2019 |
|---|---------------------------|
| Article 1 - Subject matter and scope | |
| Article 2 - Definitions | |
| Article 3 - Obligations of suppliers | supple |
| Article 4 - Obligations of dealers | |
| Article 5 - Obligations of internet | THE EUR |
| hosting platforms | Having reg |
| Article 6 - Measurement methods | Having reg energy labe |
| Article 7 - Verification procedure for | Whereas: |
| market surveillance purposes | (1) Regu |
| Article 8 - Review | of pro (2) Provi |
| Article 9 - Repeal | No 10 |
| Article 10 - Transitional measures | (3) The applie |
| Article 11 - Entry into force and application | priori energ imple |

COMMISSION DELEGATED REGULATION (EU) 2019/2016

of 11 March 2019

supplementing Regulation (EU) 2017/1369 of the European Parliament and of the Council with regard to energy labelling of refrigerating appliances and repealing Commission Delegated Regulation (EU) No 1060/2010

(Text with EEA relevance)

IE EUROPEAN COMMISSION.

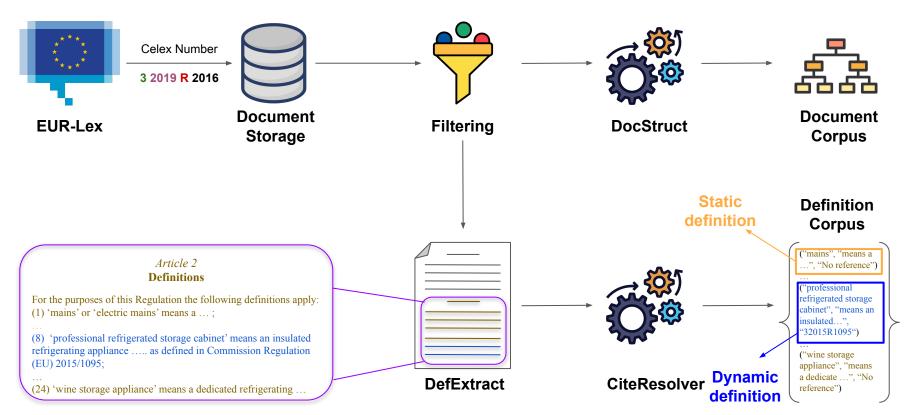
ving regard to the Treaty on the Functioning of the European Union,

ving regard to Regulation (EU) 2017/1369 of the European Parliament and of the Council of 4 July 2017 setting a framework for ergy labelling and repealing Directive 2010/30/EU (1), and in particular Article 11(5) and Article 16(1) thereof,

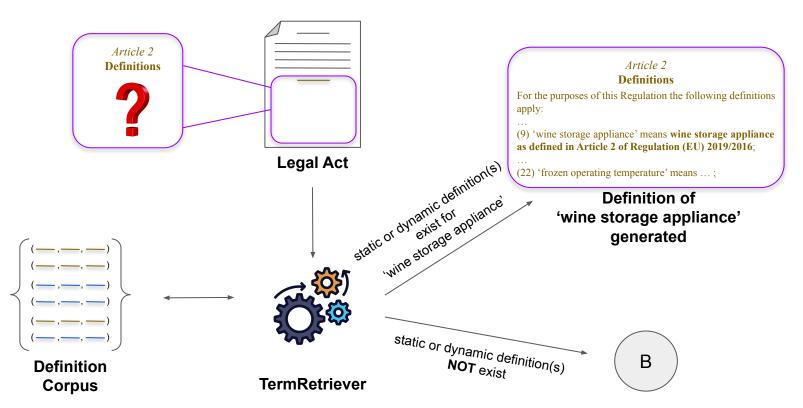
hereas:

- Regulation (EU) 2017/1369 empowers the Commission to adopt delegated acts as regards the labelling or re-scaling of the labelling of product groups representing significant potential for energy savings and, where relevant, other resources.
- Provisions on the energy labelling of household refrigerating appliances were established by Commission Delegated Regulation (EU) No 1060/2010 (2).
- The Communication from the Commission COM(2016) 773 (3) (ecodesign working plan) established by the Commission in application of Article 16(1) of Directive 2009/125/EC of the European Parliament and of the Council (4) sets out the working priorities under the ecodesign and energy labelling framework for the period 2016-2019. The ecodesign working plan identifies the energy-related product groups to be considered as priorities for the undertaking of preparatory studies and eventual adoption of implementing measures, as well as the review of Commission Regulation (EC) No 643/2009 (5) and Delegated Regulation (EU) No

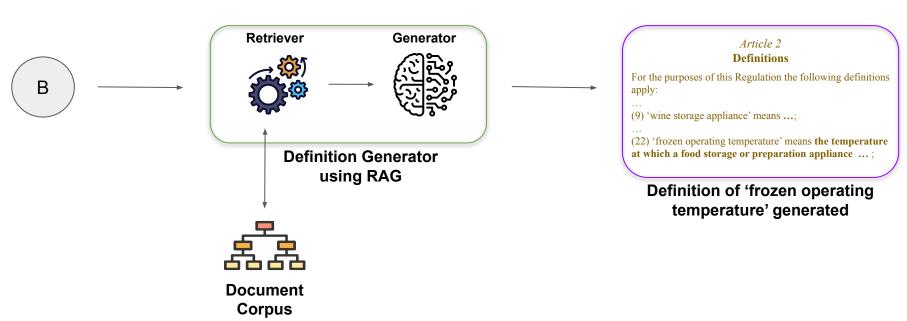
LexDrafter Framework: Workflow 1/4



LexDrafter Framework: Workflow 2



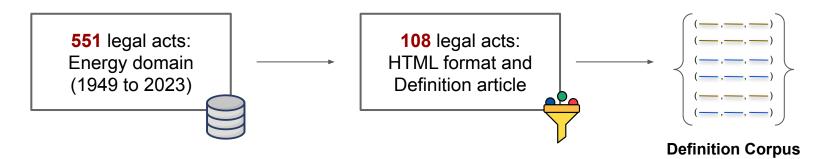
LexDrafter Framework: Workflow 2/2



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Experiments

Experiments



Objective:

- Evaluate for the definition generation task
 - Lexical similarity (BLEU)
 - Semantic similarity (BERTScore and BLEURT)

1007 legal terms

- 787: one definition
- 220: multiple definitions

1330 definitions

Experiments

| Metrics | | LLaMA-2 (7B) | Vicuna (7B) |
|----------------------|--------|--------------|-------------|
| BLEU | BLEU-1 | 0.25 | 0.28 |
| | BLEU-2 | 0.13 | 0.15 |
| | BLEU-3 | 0.07 | 0.09 |
| | BLEU-4 | 0.04 | 0.05 |
| BERTScore (F1-score) | | 0.82 | 0.82 |
| BLEURT | | 0.47 | 0.47 |

Interpretation:

- Lower BLEU scores due to different styling and vocabulary
- Semantically similar generated definitions (higher BERTScore)
- Lower BLEURT scores due to non-legal domain corpus training

Conclusion and Future Work

Conclusion and Future Work

Conclusion:

- LexDrafter framework assists in terminology drafting for legal acts
 - Application of RAG
 - Harmonize legal definitions
 - Extracts definitions from documents
 - Generates definitions based on contextual information

Future Work:

- Automatically identify terms to be defined
- Investigate legal acts from all 20 domains (for example: Agriculture, Taxation, External relations, and many more)

Resources

European Commission and Legal service. 2015. <u>Joint practical guide of the European Parliament, the Council and the Commission for persons involved in the drafting of European Union legislation</u>. Publications Office.

Patrick Lewis, Ethan Perez, Aleksandra Piktus, Fabio Petroni, Vladimir Karpukhin, Naman Goyal, Heinrich Küttler, Mike Lewis, Wen-tau Yih, Tim Rockt.schel, Sebastian Riedel, and Douwe Kiela. 2020. <u>Retrieval-Augmented Generation for Knowledge-Intensive NLP Tasks</u>. In Proceedings of the 34th International Conference on Neural Information Processing Systems (NIPS'20), volume 793, pages 9459—-9474. ACM.

Dennis Aumiller, Ashish Chouhan, and Michael Gertz. 2022. <u>EUR-Lex-Sum: A Multi- and Crosslingual Dataset for Long-form Summarization in the Legal Domain</u>. In Proceedings of the 2022 Conference on Empirical Methods in Natural Language Processing, pages 7626–7639. Association for Computational Linguistics.

Catherine Sai, Anastasiya Damaratskaya, Karolin Winter, and Stefanie Rinderle-Ma. 2023. <u>Identification and Visualization of Legal Definitions and Legal Term Relations</u>. In Advances in Conceptual Modeling, pages 151–161. Springer Nature Switzerland.

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Thank you for your attention!



The experimental code is on Github:

https://github.com/achouhan93/LexDrafter

Any questions?

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